000FORM PTO-1390 U.S. DEPARTMENT MMERCE PATENT AND TRADEMARK OFFICE **REV. 2/01T** ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 07781.0228-00 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. 10/529,231 **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2003/09954 September 27, 2002 September 8, 2003 TITLE OF INVENTION: SYSTEMS AND METHODS FOR AUTOMATIC INTEGRATED DOCUMENT FILING WHEN LOGGING BUSINESS TRANSACTIONS (AS AMENDED) APPLICANT(S) FOR DO/EO/US: 1) Peter VON ZIMMERMANN, 2) Reiner WALLMEIER, 3) Olaf DUEVEL, 4) Dirk HENRICH, 5) Martin SCHORR, 6) Volker SCHNUCK, 7) Dietmar NOWOTNY, and 8) Volker RIPP Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 1. 2. \boxtimes This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto (required only if not communicated by the International Bureau. has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). 6. An English-language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. b. has been previously submitted under 35 U.S.C. 154 (d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. \Box have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). \boxtimes 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 \boxtimes An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 12. included. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. 15. A Substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154 (d)(4).

A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).

Copy of Notification of Missing Requirements.

Petition for Extension of Time (4 months).

19.

20.

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b.

c.



U.S. APPLICATION NO 10/529,231). (If known	, see 37CFR 1.5)	e 37CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 07781.228-00				
	wing fees	are submitted:	<u> </u>			Applicant Use	Office Use Only
BASIC NATIONA	-) (1) - (5)):		\$300.00	\$	
National Stage Search Fee\$500.00					\$		
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listing or comp	uter prog		ings filed in paper over in an electronic medium) hereof.				
Total Sheets	E	ktra Sheets	Number of additional 5 thereof (rounded up to		Rate		
- 100 =		/50=			x 250.00	\$	
			or declaration later than (37 CFR 1.492 (e)).	□ 20	⊠ 30	\$130.00	
CLAIMS	NUM	BER FILED	NUMBER EXTRA	RA	TE		
Total Claims		- 20 =		x \$5	0.00	\$	
Independent Claims		-3 =	<u> </u>	x \$20		\$	ļ
MULTIPLE DEPEND	ENT CLA	IM(S) (if applicab	le)	+\$36	0.00	\$	
			TOTAL OF TH	HE ABOVE CAL	CULATIONS =	\$130.00	
☐ Applicant claims	small en	tity status. See 3	7 CFR 1.27. The fees in	ndicated above are	reduced by 1/2.	\$	
					$\mathbf{SUBTOTAL} =$	\$ 130.00	
☑ Petition for Exter	nsion of	Γime under 37 C.	F.R. 1.136(a) (4 months)		\$1,590.00	
				TOTAL NAT	TIONAL FEE =	\$1,720.00	
			CFR 1.21 (h)). The ass). \$40.00 per property.	signment must be a	accompanied by +	\$ 40.00	
				TOTAL FEES	ENCLOSED =	\$1,760.00	
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			37 CFR 1.494 or 1.495 ation to pending status.	has not been met,	a petition to reviv	ve (37 CFR 1.137	7 (a) or (b))
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Finnegan, Hender			Dunner, L.L.P.	William her	<u>~~</u>		
901 New York Av Washington, D.C.			,	SIGNATURE	rdi/Doc No 52.0	22	
		: - 	- · · · · -	Anthony J. Lomba NAME/REGISTR		32	
DATED: January	31, 2006			THE CIOIN IN	111011110.		

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PRIORITY DATE

09/27/2002





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Viginia 22313-1450 www.mptu.gov

ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT 07781.0228 Peter Von Zimmermann 10/529,231

INTERNATIONAL APPLICATION NO.

PCT/EP03/09954

22852 I.A. FILING DATE FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER 09/08/2003 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

CONFIRMATION NO. 2141 371 FORMALITIES LETTER *OC000000016828682*

OC000000016828682

Date Mailed: 08/24/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/25/2005
- Copy of the International Search Report filed on 03/25/2005
- Copy of IPE Report filed on 03/25/2005
- Preliminary Amendments filed on 03/25/2005
- Information Disclosure Statements filed on 08/08/2005
- U.S. Basic National Fees filed on 03/25/2005

RECEVED

AUG 29 2005

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/529,231	PCT/EP03/09954	07781.0228

FORM PCT/DO/EO/905 (371 Formalities Notice)